

**Wienken Advisors, Ltd.**

**5001 Louise Drive  
Suite 300  
Mechanicsburg, Pennsylvania 17055**

**Telephone: 717-791-3390**

**Fax: 717-790-9151**

**April 12, 2021**

**Part 2B of Form ADV**

**Brochure Supplement**

**This Brochure Supplement provides information about the qualifications and business and other background of individuals that provide investment advice for clients of Wienken Advisors, Ltd. (hereinafter, “the Firm”). If you have any questions about the contents of this Brochure Supplement, please contact us at 717-791-3390 or [clenz@financialguide.com](mailto:clenz@financialguide.com). The information in this Brochure Supplement has not been approved or verified by the United States Securities and Exchange Commission (“SEC”) or by any state securities authority.**

**Registration as an investment adviser does not imply any level of skill or training. The oral and written communications of an adviser provide you with information that will assist you in determining to hire or retain an adviser.**

**Additional information about the Firm is also available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Christopher J. Lenz**

### **Supervised Person's Name and Business Address**

Christopher J. Lenz  
Wienken Advisors, Ltd.  
5001 Louise Dr., Suite 300  
Mechanicsburg, PA 17055  
Phone: 717-791-3390  
Fax: 717-790-9151

The date of this Brochure Supplement is April 12, 2021. This Brochure Supplement provides information about Christopher Lenz that supplements the Wienken Advisors, Ltd. Brochure. You should have received a copy of that Brochure. Please contact [clenz@financialguide.com](mailto:clenz@financialguide.com) if you did not receive the Firm's Brochure or if you have any questions about the contents of this Supplement.

### **Educational Background**

Formal Education After High School  
B.A., Moravian College, 1987

### **Business Experience for Preceding Five Years**

President and Chief Compliance Officer of Wienken Advisors, Ltd.  
2010-Present

### **Managing Partner**

Wienken & Associates, a division of uFinancial Group  
uFinancial Group offers securities and investment advisory services through  
MML Investor services, LLC  
2010-Present

Although the Firm does not have specific educational or business requirements for its personnel providing investment advice to its clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

### **Disciplinary Information**

Not applicable.

### **Other Business Activities**

Mr. Lenz also markets insurance, including individual and group life and health insurance products, as an independent salesman.

### **Additional Compensation**

Not applicable.

### **Supervision**

The Firm supervises Mr. Lenz and monitors the advice Mr. Lenz provides to his clients through regular reviews of client information, the Firm's marketing and seminar materials and for adherence to the Firm's stated guidelines. Mr. Lenz has overall responsibility for the investment advice given to clients by advisors employed by the Firm. His telephone number is 717-791-3390.

#### Disclosure Requirement for State-Registered Advisers

Mr. Lenz has not been liable in any arbitration claims alleging damages over \$2,500 and has not been found liable in any civil, self-regulatory or other proceeding. Mr. Lenz has not been the subject of a bankruptcy petition within the last 10 years.

#### Professional Credentials

Chartered Federal Employee Benefit Consultant (ChFEBC).

### **George A. Snook**

#### Supervised Person's Name and Business Address

George A. Snook  
Wienken Advisors, Ltd.  
5001 Louise Dr., Suite 300  
Mechanicsburg, PA 17055  
Phone: 717-791-3390  
Fax: 717-790-9151

The date of this Brochure Supplement is April 12, 2021. This Brochure Supplement provides information about George Snook that supplements the Wienken Advisors, Ltd. Brochure. You should have received a copy of that Brochure. Please contact [clenz@financialguide.com](mailto:clenz@financialguide.com) if you did not receive the Firm's Brochure or if you have any questions about the contents of this Supplement.

#### Educational Background

Formal Education After High School  
Attended Bucknell University, 1984-1987

#### Business Experience for Preceding Five Years

Investment Advisor Representative, Wienken Advisors, Ltd.  
2010-Present

#### Managing Partner

The Snook Group, a division of uFinancial Group  
uFinancial Group offers securities and investment advisory services through  
MML Investor services, LLC  
2010-Present

Although the Firm does not have specific educational or business requirements for its personnel providing investment advice to its clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

#### Disciplinary Information

Not applicable.

#### Other Business Activities

Mr. Snook also markets insurance, including individual and group life and health insurance products, as an independent salesman.

#### Additional Compensation

Not applicable.

#### Supervision

The Firm supervises Mr. Snook and monitors the advice Mr. Snook provides to his clients through regular reviews of client information, the Firm's marketing and seminar materials and for adherence to the Firm's stated guidelines. Mr. Lenz has overall responsibility for the investment advice given to clients by advisors employed by the Firm. His telephone number is 717-791-3390.

#### Disclosure Requirement for State-Registered Advisers

Mr. Snook has not been liable in any arbitration claims alleging damages over \$2,500 and has not been found liable in any civil, self-regulatory or other proceeding. Mr. Snook has not been the subject of a bankruptcy petition within the last 10 years.

#### Professional Credentials

Chartered Federal Employee Benefit Consultant (ChFEBC).

### **Brian R. Logan**

#### Supervised Person's Name and Business Address

Brian R. Logan  
Wienken Advisors, Ltd.  
5001 Louise Dr., Suite 300  
Mechanicsburg, PA 17055  
Phone: 717-791-3390  
Fax: 717-790-9151

The date of this Brochure Supplement is April 12, 2021. This Brochure Supplement provides information about Brian Logan that supplements the Wienken Advisors, Ltd. Brochure. You should have received a copy of that Brochure. Please contact [clenz@financialguide.com](mailto:clenz@financialguide.com) if you did not receive the Firm's Brochure or if you have any questions about the contents of this Supplement.

#### Educational Background

Formal Education After High School  
M.B.A., University of North Carolina, 1991

#### Business Experience for Preceding Five Years

Investment Advisor Representative, Wienken Advisors, Ltd.  
2010-Present

#### Managing Partner

Director of Investments and Financial Planning, uFinancial Group  
uFinancial Group offers securities and investment advisory services through  
MML Investor services, LLC  
2010-Present

Although the Firm does not have specific educational or business requirements for its personnel providing investment advice to its clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

#### Disciplinary Information

Not applicable.

#### Other Business Activities

Mr. Logan also markets life and health insurance products as an independent salesman.

#### Additional Compensation

Not applicable.

#### Supervision

The Firm supervises Mr. Logan and monitors the advice Mr. Logan provides to his clients through regular reviews of client information, the Firm's marketing and seminar materials and for adherence to the Firm's stated guidelines. Mr. Lenz has overall responsibility for the investment advice given to clients by advisors employed by the Firm. His telephone number is 717-791-3390.

#### Disclosure Requirement for State-Registered Advisers

Mr. Logan has not been liable in any arbitration claims alleging damages over \$2,500 and has not been found liable in any civil, self-regulatory or other proceeding. Mr. Logan has not been the subject of a bankruptcy petition within the last 10 years.

#### Professional Credentials

Accredited Asset Management Specialist (AAMS).

### **James E. Thomas**

#### Supervised Person's Name and Business Address

James E. Thomas  
Wienken Advisors, Ltd.  
5001 Louise Dr., Suite 300  
Mechanicsburg, PA 17055  
Phone: 717-791-3390  
Fax: 717-790-9151

The date of this Brochure Supplement is April 12, 2021. This Brochure Supplement provides information about James Thomas that supplements the Wienken Advisors, Ltd. Brochure. You should have received a copy of that Brochure. Please contact [clenz@financialguide.com](mailto:clenz@financialguide.com) if you did not receive the Firm's Brochure or if you have any questions about the contents of this Supplement.

#### Educational Background

Formal Education After High School  
B.A., Finance, Shippensburg University, 2006

#### Business Experience for Preceding Five Years

Investment Advisor Representative, Wienken Advisors, Ltd.  
2015-Present

Agent, uFinancial Group, 2010- Present

Registered Representative, MML Investors Services, LLC, 2010- Present

uFinancial Group offers securities and investment advisory services through  
MML Investor services, LLC

Although the Firm does not have specific educational or business requirements for its personnel providing investment advice to its clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

#### Disciplinary Information

Not applicable.

#### Other Business Activities

Mr. Thomas also markets annuities as an independent salesman. Additionally, Mr. Thomas owns and operates real estate rental property.

#### Additional Compensation

Not applicable.

#### Supervision

The Firm supervises Mr. Thomas and monitors the advice Mr. Thomas provides to his clients through regular reviews of client information, the Firm's marketing and seminar materials and for adherence to the Firm's stated guidelines. Mr. Lenz has overall responsibility for the investment advice given to clients by advisors employed by the Firm. His telephone number is 717-791-3390.

#### Disclosure Requirement for State-Registered Advisers

Mr. Thomas has not been liable in any arbitration claims alleging damages over \$2,500 and has not been found liable in any civil, self-regulatory or other proceeding. Mr. Thomas has not been the subject of a bankruptcy petition within the last 10 years.

#### Professional Credentials

Chartered Federal Employee Benefit Consultant (ChFEBC).

## **Derek Snider**

### **Supervised Person's Name and Business Address**

Derek Snider  
Wienken Advisors, Ltd.  
5001 Louise Dr., Suite 300  
Mechanicsburg, PA 17055  
Phone: 717-791-3390  
Fax: 717-790-9151

The date of this Brochure Supplement is April 12, 2021. This Brochure Supplement provides information about Derek Snider that supplements the Wienken Advisors, Ltd. Brochure. You should have received a copy of that Brochure. Please contact [clenz@financialguide.com](mailto:clenz@financialguide.com) if you did not receive the Firm's Brochure or if you have any questions about the contents of this Supplement.

### **Educational Background**

Formal Education After High School  
B.S., Business Administration, West Virginia University, 2006

### **Business Experience for Preceding Five Years**

Investment Advisor Representative, Wienken Advisors, Ltd.  
2016-Present

Agent, uFinancial Group, 2007- Present  
Registered Representative, MML Investors Services, LLC, 2007- Present  
uFinancial Group offers securities and investment advisory services through  
MML Investor services, LLC

Although the Firm does not have specific educational or business requirements for its personnel providing investment advice to its clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

### **Disciplinary Information**

Not applicable.

### **Other Business Activities**

Mr. Snider also markets insurance products, as an independent salesman.

### **Additional Compensation**

Not applicable.

### **Supervision**

The Firm supervises Mr. Snider and monitors the advice Mr. Snider provides to his clients through regular reviews of client information, the Firm's marketing and seminar materials and for adherence to the Firm's stated guidelines. Mr. Lenz has overall responsibility for the investment advice given to clients by advisors employed by the Firm. His telephone number is 717-791-3390.

### **Disclosure Requirement for State-Registered Advisers**

Mr. Snider has not been liable in any arbitration claims alleging damages over \$2,500 and has not been found liable in any civil, self-regulatory or other proceeding. Mr. Snider has not been the subject of a bankruptcy petition within the last 10 years.

#### Professional Credentials

Chartered Federal Employee Benefit Consultant (ChFEBC).

### **Phillip D. Riley**

#### Supervised Person's Name and Business Address

Phillip D. Riley  
Wienken Advisors, Ltd.  
5001 Louise Dr., Suite 300  
Mechanicsburg, PA 17055  
Phone: 717-791-3390  
Fax: 717-790-9151

The date of this Brochure Supplement is April 12, 2021. This Brochure Supplement provides information about Phillip Riley that supplements the Wienken Advisors, Ltd. Brochure. You should have received a copy of that Brochure. Please contact [clenz@financialguide.com](mailto:clenz@financialguide.com) if you did not receive the Firm's Brochure or if you have any questions about the contents of this Supplement.

#### Educational Background

Formal Education After High School  
B.S. Communications / Public Relations, Millersville University, 1989

#### Business Experience for Preceding Five Years

Investment Advisor Representative, Wienken Advisors, Ltd.  
2017-Present

Financial Advisor, uFinancial Group, 2017- Present  
Registered Representative, MML Investors Services, LLC, 2017- Present  
uFinancial Group offers securities and investment advisory services through  
MML Investor services, LLC

Although the Firm does not have specific educational or business requirements for its personnel providing investment advice to its clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

Financial Advisor and Registered Representative, Invest Financial Corp. 2007-2017  
Financial Advisor, Riley Financial Services, Insurance Brokerage and Real Estate Management Services, 2006-2017

#### Disciplinary Information

Not applicable.

#### Other Business Activities

Mr. Riley owns and operates real estate rental property through Riley Properties and Associates, LLC.

#### Additional Compensation

Not applicable.

#### Supervision

The Firm supervises Mr. Riley and monitors the advice Mr. Riley provides to his clients through regular reviews of client information, the Firm's marketing and seminar materials and for adherence to the Firm's stated guidelines. Mr. Lenz has overall responsibility for the investment advice given to clients by advisors employed by the Firm. His telephone number is 717-791-3390.

#### Disclosure Requirement for State-Registered Advisers

Mr. Riley has not been liable in any arbitration claims alleging damages over \$2,500 and has not been found liable in any civil, self-regulatory or other proceeding. Mr. Riley has not been the subject of a bankruptcy petition within the last 10 years.

#### Professional Credentials

Mr. Riley received the Registered Financial Consultant designation (R.F.C.) in 2007 through Kaplan College.

### **Thomas E. Weber**

#### Supervised Person's Name and Business Address

Thomas E. Weber  
Wienken Advisors, Ltd.  
5001 Louise Dr., Suite 300  
Mechanicsburg, PA 17055  
Phone: 717-791-3390  
Fax: 717-790-9151

The date of this Brochure Supplement is April 12, 2021. This Brochure Supplement provides information about Thomas Weber that supplements the Wienken Advisors, Ltd. Brochure. You should have received a copy of that Brochure. Please contact [clenz@financialguide.com](mailto:clenz@financialguide.com) if you did not receive the Firm's Brochure or if you have any questions about the contents of this Supplement.

#### Educational Background

Formal Education After High School  
Attended Temple University 1997- 1999

#### Business Experience for Preceding Five Years

Investment Advisor Representative, Wienken Advisors, Ltd.  
2017-Present

Financial Advisor, uFinancial Group, 2016- Present  
Investment Adviser Representative and  
Registered Representative, MML Investors Services, LLC, 2016- Present  
uFinancial Group offers securities and investment advisory services through  
MML Investor services, LLC

Although the Firm does not have specific educational or business requirements for its personnel providing investment advice to its clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

#### Disciplinary Information

Not applicable.

#### Other Business Activities

Not applicable.

#### Additional Compensation

Not applicable.

#### Supervision

The Firm supervises Mr. Weber and monitors the advice Mr. Weber provides to his clients through regular reviews of client information, the Firm's marketing and seminar materials and for adherence to the Firm's stated guidelines. Mr. Lenz has overall responsibility for the investment advice given to clients by advisors employed by the Firm. His telephone number is 717-791-3390.

#### Disclosure Requirement for State-Registered Advisers

Mr. Weber has not been liable in any arbitration claims alleging damages over \$2,500 and has not been found liable in any civil, self-regulatory or other proceeding. Mr. Weber has not been the subject of a bankruptcy petition within the last 10 years.

#### Professional Credentials

Chartered Federal Employee Benefit Consultant (ChFEBC).

### **Patrick N. Hanosek**

#### Supervised Person's Name and Business Address

Patrick N. Hanosek  
Wienken Advisors, Ltd.  
5001 Louise Dr., Suite 300  
Mechanicsburg, PA 17055  
Phone: 717-791-3390  
Fax: 717-790-9151

The date of this Brochure Supplement is April 12, 2021. This Brochure Supplement provides information about Patrick Hanosek that supplements the Wienken Advisors, Ltd. Brochure. You should have received a copy of that Brochure. Please contact [clenz@financialguide.com](mailto:clenz@financialguide.com) if you did not receive the Firm's Brochure or if you have any questions about the contents of this Supplement.

#### Educational Background

Formal Education After High School  
B.S. Finance, Bloomsburg University, 2016

#### Business Experience for Preceding Five Years

Investment Advisor Representative, Wienken Advisors, Ltd.  
2018-Present

Financial Advisor, uFinancial Group, 2016- Present  
Registered Representative, MML Investors Services, LLC, 2016- Present  
uFinancial Group offers securities and investment advisory services through  
MML Investor services, LLC

Although the Firm does not have specific educational or business requirements for its personnel providing investment advice to its clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

#### Disciplinary Information

Not applicable.

#### Other Business Activities

Mr. Hanosek also markets group life and group health insurance as an independent salesman.

#### Additional Compensation

Not applicable.

#### Supervision

The Firm supervises Mr. Hanosek and monitors the advice Mr. Hanosek provides to his clients through regular reviews of client information, the Firm's marketing and seminar materials and for adherence to the Firm's stated guidelines. Mr. Lenz has overall responsibility for the investment advice given to clients by advisors employed by the Firm. His telephone number is 717-791-3390.

#### Disclosure Requirement for State-Registered Advisers

Mr. Hanosek has not been liable in any arbitration claims alleging damages over \$2,500 and has not been found liable in any civil, self-regulatory or other proceeding. Mr. Hanosek has not been the subject of a bankruptcy petition within the last 10 years.

#### Professional Credentials

Not applicable.

### **Jason Bishop**

#### Supervised Person's Name and Business Address

Jason Bishop  
Wienken Advisors, Ltd.  
5001 Louise Dr., Suite 300  
Mechanicsburg, PA 17055  
Phone: 717-791-3390  
Fax: 717-790-9151

The date of this Brochure Supplement is April 12, 2021. This Brochure Supplement provides information about Jason Bishop that supplements the Wienken Advisors, Ltd. Brochure. You

should have received a copy of that Brochure. Please contact [clenz@financialguide.com](mailto:clenz@financialguide.com) if you did not receive the Firm's Brochure or if you have any questions about the contents of this Supplement.

#### Educational Background

Formal Education After High School

A.A. Psychology, Christian Univ. College and Theological Seminary, 2018

#### Business Experience for Preceding Five Years

Investment Advisor Representative, Wienken Advisors, Ltd.

2020-Present

Financial Advisor, uFinancial Group, 2016 - Present

Registered Representative, MML Investors Services, LLC, 2016- Present

uFinancial Group offers securities and investment advisory services through

MML Investor Services, LLC

Insurance Product Sales, MassMutual, 2016 - Present

Managing Partner, Advanced Asset Management, 2009-2015

Although the Firm does not have specific educational or business requirements for its personnel providing investment advice to its clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

#### Disciplinary Information

Not applicable.

#### Other Business Activities

Not applicable.

#### Additional Compensation

Not applicable.

#### Supervision

The Firm supervises Mr. Bishop and monitors the advice Mr. Bishop provides to his clients through regular reviews of client information, the Firm's marketing and seminar materials and for adherence to the Firm's stated guidelines. Mr. Lenz has overall responsibility for the investment advice given to clients by advisors employed by the Firm. His telephone number is 717-791-3390.

#### Disclosure Requirement for State-Registered Advisers

Mr. Bishop has not been liable in any arbitration claims alleging damages over \$2,500 and has not been found liable in any civil, self-regulatory or other proceeding. Mr. Bishop has not been the subject of a bankruptcy petition within the last 10 years.

#### Professional Credentials

Not applicable.